

Notice of Allowability**Application No.**

10/691,937

Applicant(s)

ZHOU ET AL.

Examiner

Evelyn Huang

Art Unit

1625

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to response filed on 8-18-2004.
2. ☒ The allowed claim(s) is/are 1,2,4,6-9 and 15-20.
3. ☐ The drawings filed on _____ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☐ All b) ☐ Some* c) ☐ None of the:
 1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
 6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|---|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892) | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 6. <input checked="" type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),
Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance |
| | 9. <input type="checkbox"/> Other _____. |

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EXAMINER'S AMENDMENT

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Ms. Lences on 10-18-2004. During the interview, the examiner suggested ways to amend claims 1, 15, 20 to obviate the issues of written description and lack of antecedent basis of the amended claims.

The application has been amended as follows:

a. Claim 1,

- Replace 'W is N or CR₆; X is N or CR₇, with the proviso that when W is N then X must be N' with – either W is N or CR₆ and X is N, or W is CR₆ and X is N or CR₇ --.
- Definition of z, delete 'n is 1, Q is SO₂, CO or CH₂ and' .

b. Claim 15,

- Replace 'W is N or CR₆; X is N or CR₇, with the proviso that when W is N then X must be N' with – either W is N or CR₆ and X is N, or W is CR₆ and X is N or CR₇ --.
- Definition of z, delete 'n is 1, Q is SO₂, CO or CH₂ and' .

c. Claim 20,

- Replace 'W is N or CR₆; X is N or CR₇, with the proviso that when W is N then X must be N' with – either W is N or CR₆ and X is N, or W is CR₆ and X is N or CR₇ --.
- Definition of z, delete 'n is 1, Q is SO₂, CO or CH₂ and' .

REASONS FOR ALLOWANCE

2. The following is an examiner's statement of reasons for allowance:

Claims 1, 2, 4, 6-9, 15-20 are allowed.

The rejection for Claims 9, 19 35 U.S.C. 112, second paragraph, is withdrawn in view of the amendment obviating the rejection.

The rejection for Claims 10, 11 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement is rendered moot by the cancellation of these claims.

The rejection for Claims 1-2, 4-8, 10-17, 20 under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention, is withdrawn upon reconsideration in view of the amendment. The claims as amended are now commensurate in scope with that of the objective enablement.

The rejection for Claims 1, 2, 5 under 35 U.S.C. 102(b) as being anticipated by Julia (Bulletin de la Societe Chimique de France (1964), 8:1939-45) is withdrawn in view of the amendment limiting Q to SO₂, thereby setting a demarcation from the prior art compound wherein Q is CH₂.

The rejection for Claims 1, 4, 6, 15 under 35 U.S.C. 102(b) as being anticipated by Allen I (5861414) is withdrawn in view of the amendment excluding indazolyl from the claims, thereby setting a demarcation from Allen's indazolyl compound.

The rejection for Claims 1, 4, 6, 10-15 under 35 U.S.C. 102(b) as being anticipated by Vandenberg (5196425) withdrawn in view of the amendment excluding indazolyl from the claims, thereby setting a demarcation from Vandenberg's indazolyl compound.

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The rejection for Claims 1, 4, 6, 15, 17, 20 under 35 U.S.C. 102(b) as being anticipated by Allen II (WO 97/49698) is withdrawn in view of the amendment excluding indazolyl from the claims, thereby setting a demarcation from Allen's indazolyl compound

The rejection for Claims 1, 4, 6, 9-13, 15, 17, 19, 20 under 35 U.S.C. 102(b) as being anticipated by Strupczewski (4670447) is withdrawn in view of the amendment excluding indazolyl from the claims, thereby setting a demarcation from Strupczewski's indazolyl compound.

The rejection for Claims 1, 2, 5, 10-15, 20 under 35 U.S.C. 103(a) as being unpatentable over Hallett (WO 99/47511) is withdrawn in view of the amendment limiting Q to SO₂, thereby setting a demarcation from the prior art compound wherein Q is CH₂. Motivation to modify the prior art compound to arrive at the instant invention is lacking.

The rejection for Claims 1, 2, 4-20 under 35 U.S.C. 103(a) as being unpatentable over Strupczewski (4670447) is withdrawn in view of the amendment excluding indazolyl from the claims, thereby setting a demarcation from Strupczewski's indazolyl compound. Motivation to modify the prior art compound to arrive at the instant indolyl or pyrrolopyridine compound is lacking.

3. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Evelyn Huang whose telephone number is 571-272-0686. The examiner can normally be reached on Tuesday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cecilia Tsang can be reached on 571-272-0562. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Evelyn Huang

Primary Examiner

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